

Recall that

$X$  is disconnected  $\Leftrightarrow \exists \emptyset \neq U, V \subseteq X$   
such that  $U, V$  are both open and closed.

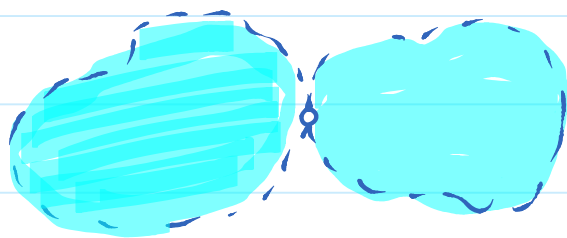
It is convenient to use the negation below

Definition (useful in doing proof)

$(X, \mathcal{J})$  is connected if  $\forall U \subset X$  that is  
both open and closed in  $X$ ,  $U = \emptyset$  or  $U = X$ .

Note that no need to mention  $V$  in above

Qu. Draw a picture of a disconnected subset  
in  $\mathbb{R}^2$ , which is "almost connected"



From this example, if  $X = A \cup B$  with  $A \cap B = \emptyset$   
the condition on  $A, B$  will determine whether  
 $X$  is connected or disconnected.

# Touching boundaries

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**Theorem**  $X$  be connected  $\Leftrightarrow \forall A, B \neq \emptyset$   
if  $X = A \cup B$  with  $A \cap B = \emptyset$  then  $\bar{A} \cap B \neq \emptyset$  or  $A \cap \bar{B} \neq \emptyset$

## Example

①  $X = (0, 2) = \underbrace{(0, 1)}_A \cup \underbrace{[1, 2)}_B$  connected

$$\bar{A} = (0, 1], \quad B = [1, 2), \quad \bar{A} \cap B = \{1\}$$

②  $X = \underbrace{(0, 1)}_A \cup \underbrace{(1, 2)}_B$  disconnected

$$\bar{A} = (0, 1), \quad B = (1, 2) \quad \bar{A} \cap B = \emptyset$$

## Main Idea

By  $X = A \cup B$  and  $A \cap B = \emptyset$ ,

$$A = X \setminus B$$

If  $A \cap \bar{B} = \emptyset$  then  $A \subset X \setminus \bar{B}$

$$X \setminus B \subset X \setminus \bar{B}, \therefore B \supset \bar{B}$$

Thus,  $B$  is closed and  $A$  is open

Therefore  $\bar{A} \cap B = \emptyset$  and  $A \cap \bar{B} = \emptyset$

implies both  $A, B$  are open (and closed)

The above argument clearly goes backward.

Qu. What is the Intermediate Value Theorem?

And its analogue in high dimension?

**Theorem** If  $X$  is connected and  $f: X \rightarrow Y$  is continuous then  $f(X)$  is connected.

**Proof** Let  $S \subset f(X)$  is both open and closed in  $Y$

$$\begin{aligned} \text{i.e. } S &= G \cap f(X) & G \in \mathcal{J}_Y \\ &= F \cap f(X) & X \setminus F \in \mathcal{J}_Y \end{aligned}$$

$$f^{-1}(S) = f^{-1}(G) = f^{-1}(F)$$

both open & closed in  $X$

$$\therefore f^{-1}(S) = \emptyset \text{ or } f^{-1}(S) = X$$

$$\text{i.e. Both } G, F \subset Y \setminus f(X) \quad S = \emptyset$$

$$\text{or both } G, F \supset f(X) \quad S = f(X)$$

**Theorem**  $X$  is disconnected  $\iff$

$\exists$  surjective continuous  $f: X \rightarrow (\{-1, 1\}, \text{discrete})$

" $\implies$ " Let  $\emptyset \neq U \subsetneq X$  be both open & closed

Then define  $f(x) = \begin{cases} -1 & x \in U \\ 1 & x \notin U \end{cases}$  will do.

" $\impliedby$ " Simply take  $U = f^{-1}(-1)$ ,  $V = f^{-1}(1)$ ,  $U \cup V = X$

$U, V \neq \emptyset$  because  $f$  is surjective

They are open and closed because  $\{-1\}, \{1\}$

are both open and closed in discrete topology.

# Connected Component

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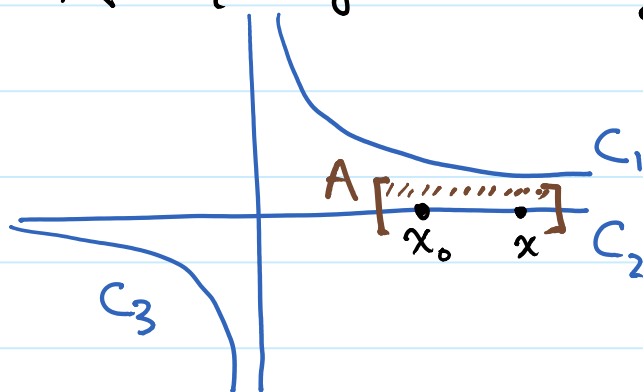
Connected component of  $x_0 \in X$

①  $C$  is the maximal/largest connected subset of  $X$  containing  $x_0$

②  $C_x = \bigcup \{ \text{connected subsets containing } x_0 \}$

③ Define  $\sim$  on  $X$  by  $x \sim y$  if  $\exists$  connected  $A \subset X$  such that  $x, y \in A$   
Then  $C_x = [x_0]$

Example.  $X = \{ (x, y) \in \mathbb{R}^2 : xy = 0 \text{ or } xy = 1 \}$



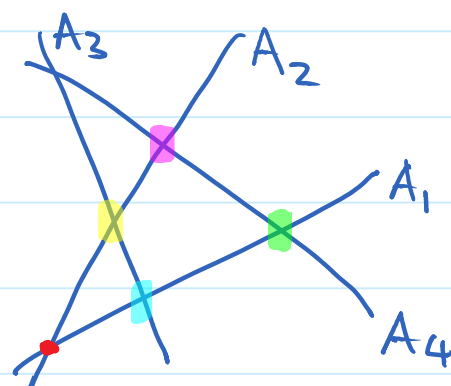
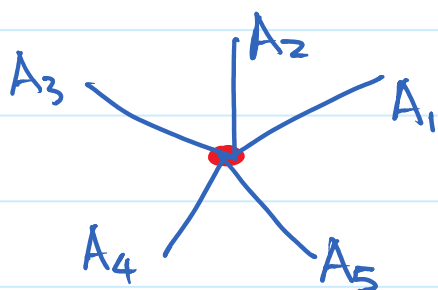
Intuitive picture

Qu. How do we know that  $C_1, C_2, C_3$  are connected.

Qu. What must we do when we have 3 def's?

**Theorem** Let  $A_\alpha \subset X$  be connected subsets with  
(i)  $\bigcap_\alpha A_\alpha \neq \emptyset$  or (ii)  $A_\alpha \cap A_\beta \neq \emptyset \forall$  pair  $\alpha, \beta$

Then  $\bigcup_\alpha A_\alpha$  is connected



# Def equiv

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①  $\Rightarrow$  ② By condition (i),

$$\bigcup \{A \subset X : x_0 \in A \text{ and } A \text{ is connected}\}$$

is a connected set containing  $x_0$ .

②  $\Rightarrow$  ③ First, we need condition (ii)

to show that  $x \sim y$  and  $y \sim z \Rightarrow x \sim z$   
Then, we show

$$[x_0] = \bigcup \{A \subset X : x_0 \in A \text{ and } A \text{ is connected}\}$$

" $\supset$ " If  $x \in \text{RHS}$  then  $\exists A \subset X$  with

$x_0 \in A$  and  $A$  is connected at  $x \in A$

By definition of  $\sim$ ,  $x \sim x_0$ ,  $\therefore x \in [x_0]$

" $\subset$ " Similar

③  $\Rightarrow$  ① By def of  $\sim$  and maximality of  $C$

$$x \in [x_0] \Rightarrow x \in A \subset C$$

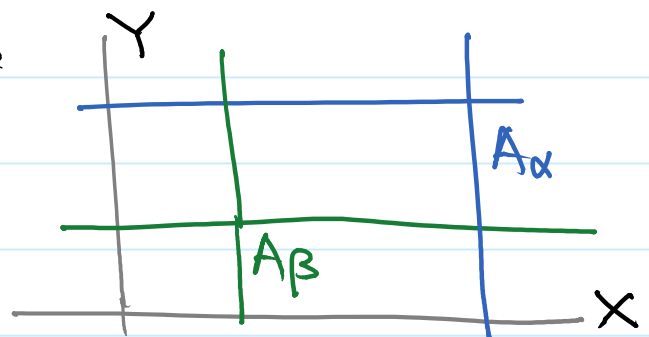
$$\therefore [x_0] \subset C$$

On the other hand,  $x \in C \Rightarrow x \sim x_0$

$$C \subset [x_0]$$

Another use If  $X, Y$  are connected then  
 $X \times Y$  is connected

The idea is given by  
the picture



# Proof

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Note: (i) is a particular case of (ii),

Sufficient to show (ii)  $\Rightarrow A = \bigcup_{\alpha} A_{\alpha}$  is connected

Let  $S \subset A$  be both open and closed in  $A$

$\therefore S \cap A_{\alpha}$  is both open and closed  $\forall \alpha$

$\therefore \forall \alpha \in I, S \cap A_{\alpha} = \emptyset$  or  $S \cap A_{\alpha} = A_{\alpha}$



$\underbrace{\forall \alpha \in I S \cap A_{\alpha} = \emptyset}$  or  $\underbrace{\forall \alpha \in I S \cap A_{\alpha} = A_{\alpha}}$

$$\underset{\parallel}{S} = \bigcup_{\alpha \in I} S \cap A_{\alpha} = \emptyset$$

$$\text{or } S = \dots = \bigcup_{\alpha \in I} S \cap A_{\alpha}$$

$$S \cap A = S \cap \left( \bigcup_{\alpha \in I} A_{\alpha} \right)$$

$$\bigcup_{\alpha \in I} A_{\alpha} = A$$

In ? above, logical, it may happen

$S \cap A_{\alpha} = \emptyset$  for some  $\alpha$ ; while  $S \cap A_{\alpha} = A_{\alpha}$  for other  $\alpha$ .

We need the assumption  $A_{\alpha} \cap A_{\beta} \neq \emptyset \forall$  pair  $\alpha, \beta$

Suppose  $\exists$  particular  $\alpha$  with  $S \cap A_{\alpha} = \emptyset$

Then  $S \cap (A_{\alpha} \cap A_{\beta}) \subset A_{\alpha} \cap A_{\beta} = \emptyset$

$$\subset (S \cap A_{\beta}) \cap A_{\alpha} = \begin{cases} A_{\beta} \cap A_{\alpha} \neq \emptyset \\ \emptyset \cap A_{\alpha} = \emptyset \end{cases}$$

Thus  $\forall$  arbitrary  $\beta \in I, S \cap A_{\beta} = \emptyset$

Using the contrapositive, we get

$\exists \beta$  with  $S \cap A_{\beta} = A_{\beta} \Rightarrow \forall \alpha, S \cap A_{\alpha} = A_{\alpha}$